



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Wilson Wai Shun Chan

Confirmation No. 2527

Serial No.: 09/493,673

Examiner: Cam Y T Truong

Filing Date: January 28, 2000

Group Art Unit: 2172

Title: TECHNIQUES FOR DLM OPTIMIZATION WITH TRANSFERRING LOCK
INFORMATION

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER CFR 1.312

Sir:

We are in receipt of the Notice of Allowance mailed April 19, 2004 in the
above-identified application.

Please amend the specification as follows:

IN THE SPECIFICATION

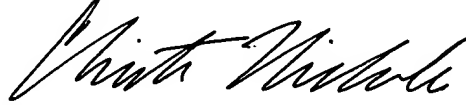
Please replace the paragraph appearing at page 1, lines 5-11, with the following:

This application is related to U.S. Patent Application Serial Number ____/____,____
09/493,660 filed on _____ January 28, 2000, entitled TECHNIQUES FOR DLM
OPTIMIZATION WITH RE-MAPPING RESPONSIBILITY FOR LOCK MANAGEMENT, by
inventor Wilson Wai Shun Chan (~~attorney docket number 50277-305, OI-1999-021-01~~); and to
U.S. Patent Application Serial Number ____/____,____ 09/493,659 filed on _____,
January 28, 2000 entitled TECHNIQUES FOR DLM OPTIMIZATION WITH RE-
MASTERING EVENTS, by inventor Wilson Wai Shun Chan (~~attorney docket number 50277-
384, OI-1999-021-3~~).
OID #1999-021-02 (50277-0383)

Please charge any shortages or credit any overages to Deposit Account No. 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP



Christian A. Nicholes

Attorney of Record

Registration No. 50,266

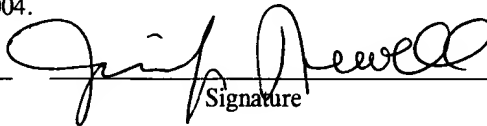
Dated: May 5, 2004

1600 Willow Street
San Jose, California 95125-5106
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 5, 2004.

Jennifer Newell

Name


Signature

May 5, 2004
Date



PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**NO FEE TRANSMITTAL
for FY 2004**

Patent fees are subject to annual revision,
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$)**0.00****Complete if Known**

Application Number 09/493,673

Filing Date January 28, 2000

First Named Inventor Wilson Wai Shun Chan

Examiner Name Cam Y T Troung

Group/Art Unit 2172

Attorney Docket No. 50277-0383

METHOD OF PAYMENT (check one)

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit
Account
Number

50-1302

Deposit
Account
Name

Hickman Palermo Truong & Becker, LLP

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status.
See 37 CFR 1.27.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1330	2453	665	Petition to revive - unintentional	
1501	1330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
Other fee (specify)					

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0.00

2. EXTRA CLAIM FEES

Total Claims	Highest Paid Claims	Extra Claims	Fee from Below	Fee Paid
21	- 21	0	18.00	= 0.00
5	- 5	0	86.00	= 0.00
Multiple Dependent				=

****or number previously paid, if greater; For Reissues, see below**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0.00**

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0.00****SUBMITTED BY**

Name (Print/Type) Christian A. Nicholes

Registration No.
(Attorney/Agent) 50,266

Telephone (408) 414-1080

Signature

Date May 5, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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